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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/774,869	02/09/2004	Song-Hua Shi	884.698US2	8825
21186 7550 SCHWEGMAN, LUNDBERG & WOESSNER, P.A. P.O. BOX 2938			EXAMINER	
			IM, JUNGHWA M	
MINNEAPOLIS, MN 55402			ART UNIT	PAPER NUMBER
			2811	
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			03/25/2008	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

### Application No. Applicant(s) 10/774.869 SHI ET AL. Office Action Summary Examiner Art Unit JUNGHWA M. IM 2811 -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --Period for Reply A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS. WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION. Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). Status 1) Responsive to communication(s) filed on 26 December 2007. 2a) This action is FINAL. 2b) This action is non-final. 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213. Disposition of Claims 4) Claim(s) 1.3-5.7.8 and 23-25 is/are pending in the application. 4a) Of the above claim(s) is/are withdrawn from consideration. 5) Claim(s) \_\_\_\_\_ is/are allowed. 6) Claim(s) 1.3-5.7.8 and 23-25 is/are rejected. 7) Claim(s) \_\_\_\_\_ is/are objected to. 8) Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement. Application Papers 9) The specification is objected to by the Examiner. 10) ☐ The drawing(s) filed on 09 February 2004 is/are: a) ☐ accepted or b) ☐ objected to by the Examiner. Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a). Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d). 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152. Priority under 35 U.S.C. § 119 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some \* c) None of: Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). \* See the attached detailed Office action for a list of the certified copies not received. Attachment(s) 1) Notice of References Cited (PTO-892) 4) Interview Summary (PTO-413) Paper No(s)/Mail Date. \_\_\_

Notice of Draftsperson's Patent Drawing Review (PTO-948)
 Information Disclosure Statement(s) (PTO/SB/08)

Paper No(s)/Mail Date 12/07, 2/04

5) Notice of Informal Patent Application

6) Other:

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#### DETAILED ACTION

#### Terminal Disclaimer

The terminal disclaimer filed on June 1, 2007 disclaiming the terminal portion of any patent granted on this application which would extend beyond the expiration date of US Pat. 6,703,299 has been reviewed and is accepted. However, the terminal disclaimer has not been recorded. The record shows that the terminal disclaimer has not been processed/entered formally.

### Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 4-5 and 23 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claim 4 recites the limitation "after beginning curing the underfill material and removing the film, curing includes: curing the underfill material that is in contact with the film; removing the film; and thereafter curing the underfill material that is between the die and the mounting substrate." It is confusing since the instant invention does not disclose that curing the underfill material between the die and the mounting substrate after removing the film. In detail, the limitation implies that the first heating is for curing the fillet portion 134 and the second heating is for the interstitial portion 136 between the die and the mounting substrate. The instant invention does not disclose this aspect.

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Rather, the instant invention discloses that the first heating is for curing the underfill material 124 that includes the portions 134, 136 until the tacky film is removed. After removing the tacky film, the underfill material 124 is cured again under the second temperature.

Claim 5 recites the limitation "... curing the underfill material that is in contact with the film by the conductive heat transfer from the mold." And this limitation depicts the embodiment in Figures 4A-4B. Note that the base claim 1 recites the embodiment shown in Figures 3A-3C.

Claim 23 recites the limitation "... a mold press that gives shape to the film."

Note that the instant invention does not disclose this aspect. In detail, claim 23 recites the limitations shown in Figures 3A-3C that include the formation of the tacky film. The limitation " a mold press that gives shape to the film" depicts Figures 4A-4B that includes the non-tacky film. Therefore, claim 23 recites the limitations depicting the two different embodiments.

## **Double Patenting**

The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., In re Berg, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); In re Goodman, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); In re Longi, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); In re Van Ornum, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); In re Vagel, 422

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F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

Claims 1, 3, 7 and 8 are rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1-16 of U.S. Patent No. 6,703,299. Although the conflicting claims are not identical, they are not patentably distinct from each other because of the following reasons.

It appears that pending claim 1 and claim 6 of the '299 patent are identical. And note that pending claim 3 recites the limitation that is substantially identical to one of the limitations in pending claim 1. Therefore, pending claims 1 and 3 are recited substantially identical to claim 6 of the '299 patent. Pending claim 7 is recited in claims 9 and 11 of the '299 patent in a substantially identical manner except a minor difference in wording. It is also noted that pending Claim 7 is substantially identical to claim 7 of the '299 patent except the mere variance in wording. Pending claim 8 is recited in claim 8 of the '299 patent in the substantially identical manner.

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### Response to Arguments

Applicant's arguments filed June 1, 2007 have been fully considered but they are not persuasive. The rejection stands and the examiner presents the remarks below in response to Applicant's arguments.

Rejection under 35 U.S.C. 112 is maintained because of the reasons discussed labove in the Office action.

Rejection under Double Patenting is maintained since the terminal disclaimer filed 6/1/2007 has not been processed/entered formally.

#### Conclusion

THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

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Any inquiry concerning this communication or earlier communications from the examiner should be directed to JUNGHWA M. IM whose telephone number is (571)272-1655. The examiner can normally be reached on MON.-FRI. 7:30AM-4:00PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Lynne A. Gurley can be reached on (571) 272-1670. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Lynne A. Gurley/ Supervisory Patent Examiner, Art Unit 2811

/JMI/ 3/14/2008